Guidelines for the Preparation of Dissertations, Theses and Research Papers

SUBMISSION DEADLINES

Spring
• Initial Submission Deadline - 12th week of the Semester, Friday, 4:30 PM
• Final Submission Deadline - 13th week of the Semester, Friday, 4:30 PM
For example, for Spring 2020 the deadlines are
• Initial Submission Deadline - April 3, 2020, 4:30PM
• Final Submission Deadline - April 10, 2020, 4:30PM

Summer
• Initial Submission Deadline - 3rd week of the Semester, Friday, 4:30 PM
• Final Submission Deadline - 4th week of the Semester, Friday, 4:30 PM
For example, for Summer 2020 the deadlines are
• Initial Submission Deadline - June 26, 2020, 4:30PM
• Final Submission Deadline - July 3, 2020, 4:30PM

Fall
• Initial Submission Deadline - 12th week of the Semester, Friday, 4:30 PM
• Final Submission Deadline - 13th week of the Semester, Friday, 4:30 PM
For example, for Fall 2020 the deadlines are
• Initial Submission Deadline - November 6, 2020, 4:30PM
• Final Submission Deadline - November 13, 2020, 4:30PM

Important Information:
• Any day before the initial deadline is okay for submission. Upload your paper as soon as possible to get the feedback faster.
• Submit a complete paper by the initial deadline. Your submission should include all required pages, should not have any blank pages or unfilled charts.
• Revise your paper based on the feedback provided by the administrator and resubmit it. You don’t have to pay ProQuest when you resubmit. This process continues until the paper meets the guidelines and format required. (There is not a fee for Research Paper submission to OpenSIUC.)
• The initial and final submission deadlines are firm. If you cannot meet both deadlines, then your paper will be considered for the following semester graduation.
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PART I – THESIS/ DISSERTATION GUIDELINES

ORGANIZATION OF PAGES

(See Thesis/Dissertation Templates)

The pages of your paper should be in the following order:

1. Title page
2. Copyright statement (when applicable)
3. Approval page
4. Abstract
5. Dedication (Optional)
6. Acknowledgments (Optional)
7. Preface (Optional)
8. Table of Contents
9. List of Tables (with page references – required if you have more than one table)
10. List of Figures (with page references – required if you have more than one figure)
11. Text/Body
12. Exhibits (tables, figures, photographs, etc. – required if you are presenting all of your tables and figures after the body of your paper)
13. References (or other appropriate title prescribed by your program’s style manual)
14. Appendices (Optional – required if you have copyright permission or other documents that do not fit elsewhere in your paper)
15. Vita

TITLE PAGE

• Make sure that your paper title on the title page, the approval page, the abstract page, the vita page, and your Oral Defense and Thesis/Dissertation Approval forms match exactly.
• Month Year at the bottom of this page is the month and year of graduation.

APPROVAL PAGE

• Only the names (not the signatures) of your committee are needed for this page. (The signatures will be on the original Thesis/Dissertation Approval form that is submitted to the Graduate School.)
• Date on this page is the date on which your committee signs your approval form. It should be in the format Month Date, Year, for example, March 8, 2019.
ABSTRACT

- Date on this page is the date of your defense in the format Month Date, Year.

TABLE OF CONTENTS

- Do not list the Table of Contents as an entry on the Table of Contents page.
- Put the ones digit of every page number in a straight vertical line at the right margin.
- Include the titles of Chapters and Appendices.
  - For Thesis / Dissertation - headings within chapters may also be included, but this is optional.
- Where chapter titles are longer than one line, end the first line before it reaches the page number vertical line, and then indent the first word of the second line so that it starts under the first word of the first line. (See templates.)
- Indent the chapter listings under the word CHAPTERS, and indent the appendices listings under the word APPENDICES.
- Table of Contents are already created on the templates, and you only need to customize this page. Another option is to create an active Table of Contents by following the instructions below.

**Inserting a table of contents using the inbuilt option from MS Word**

- In Word, tables of contents rely on your use of styles to format headings. If you already used the Heading 1, Heading 2, and other heading styles to format your document, you’re ready to insert your Table of Contents. Follow these steps to insert a Table of Contents:
  - Click where you want to create the table of contents and click the References tab. In the Table of Contents group, click Table of Contents.
  - Choose the style of Table of Contents you wish to insert. Automatic Table 1 creates a Table of Contents titled Contents. Automatic Table 2 creates a Table of Contents titled Table of Contents. So, Automatic Table 2 is recommended.
  - Manually add the sub titles CHAPTER and PAGE and align them utmost right and left respectively.
  - Word will create a Table of Contents from the document text you styled with one of the first three heading styles: Heading 1, Heading 2, and Heading 3. The Table
of Contents will include a string of dots between the heading text and the page number for each heading.

- When readers hover over a page number, the headings are highlighted which reminds that they can use the Table of Contents for navigation.

- After the headings are inserted, change the font style of the title TABLE OF CONTENTS, center align it, make it all capitals and also set the font color to Black.
- Set the line spacing to 2.0, change the font style, size of the headings, page numbers (if needed) to make it consistent with the rest of the document.

**Updating Table of Contents**

After you’ve edited your document, page numbers and headings may change, which will make your Table of Contents incorrect!

- To update your Table of Contents, right-click in the table and choose Update Field
  or
- choose Update Table from the Table of Contents group on the References tab. Select entire table or page numbers only and click OK to regenerate the table of contents from your headings.

**No Heading styles?**

- If the headings in your document aren’t formatted with styles, before inserting your table of contents, select each of the top-level headings for your Table of Contents and apply the Heading style from the Styles group on the Home tab of the ribbon.
  
  or

- Follow the templates and manually type in the headings to create a Table of Contents.
LIST OF TABLES and LIST OF FIGURES

- Put the ones digit of every page number in a straight vertical line at the right margin.
- It is required to include table/figure title next to table/figure number.
- Where table/figure titles are longer than one line, end the first line before it reaches the page number vertical line, and then indent the first word of the second line so that it starts under the first word of the first line.

For example…

Figure 23. The effect of switch cost on the total annual cost and the optimum number of switches................................................................................................................................. 65

Formatting Guidelines

FONTS

- Use the same font throughout your paper. Some standard fonts to choose from: Times New Roman, Bookman, Courier, or Ariel.
- The regular text in the body of the document should use a 10 or 12 point font size. Headings and subheadings may go up to 14 point but must be of the same font style as the body of the text.
- Bold and Italics may be used. In general, italics is used for Genera, species, letters, words or phrases cited as a linguistic example, and foreign words. Bold and italics may be used to distinguish heading and sub-heading levels; follow your department guidelines, and be consistent throughout your paper.
- For tables, six (6) point font should be the smallest used. Try to use the same size as the rest of the body, if possible.

SPACING

- The body of the document must be double spaced.
- There should be no extra spacing between paragraphs.
- There should be no extra spacing before and after each sub-heading.
- Spacing within the table may be single or double spaced based on the readability of the data.
- There should be a judicious use of spacing to "set off" tables, charts, and figures; typically, one double space before and after the table. Again, once spacing is chosen, you must be consistent throughout.
- Places where single spacing is acceptable:
  - Between the lines of a title or heading that takes up more than one line.
  - Between the lines of a long quote that is indented on both sides.
  - Within a figure or table.
  - Between the lines of a figure/table title or explanation/description
  - Within a reference in the References section. If you single space between the multiple lines of a reference, then you should insert a blank space between reference entries.
• Within footnotes.

**Double spacing the document**

• Navigate to *Design* tab.
• Go to Paragraph Spacing (on Top Right) and Set that to **Double**.

![Paragraph Spacing](image)

or

• Select the paragraph which needs to be double spaced.
• Navigate to Home ➔ Paragraph line spacing options ➔ **2.0**

**Deleting extra space above each sub heading, paragraphs.**

Only 2.0-line space is allowed throughout your work. Sometimes, though the line spacing is set to double, you still can see some extra space between the lines, especially above each sub heading, new paragraph etc.

To fix this:

• Select text.
• On Home tab ➔ Paragraph ➔ Click paragraph Settings button on the bottom right.
• On Indents and Spacing tab set the **Spacing** to 0 for both **Before** and **After** tabs.
• Click OK.
TEXT JUSTIFICATION

- The text in chapters should be left justified only, unless your program requires full justification of text (e.g., Mathematics).
- Text on title page and approval page are centered.
- Chapter titles are centered, and other headings may be centered, as fits your program-designated style.

MARGINS AND INDENTATIONS

- Margins are one inch all around. So, text should start one inch below the top of the page and end one inch above the bottom of the page.
- Pages with charts, tables, and figures may have greater margins than listed but may not go into the required margins.
- Paragraph indentations should be a uniform five spaces.
- With a heading at the bottom of a page, when there is not room for at least one line of text under it, move the heading to the next page. In this situation, it is acceptable to have a larger bottom margin.

PAGINATION

- No page numbers on Title page, Copyright page, or Approval page.
- The pages after the Approval page and preceding the text, i.e. Abstract, Acknowledgements, Table of Contents etc. should be numbered using lower case Roman numerals (i, ii, iii, ..) starting with Abstract.
- Roman numeral page numbers must be centered and positioned one-half inch from the bottom of the page.
- Text pages, References/Bibliography, Appendices and Vita should consist of Arabic numerals (1, 2, 3..) only, without punctuation, embellishment, or running headers. This numeral paging should be continuous.
- For theses and dissertations, the Arabic page numbers may be located either right-aligned on the top of the page or centered one-half inch from the bottom of the page.
- Page numbers need to be consistent with the same font style and size as the regular text.
- If a page of your document is formatted with landscape orientation, the header and footer of the page are also formatted with landscape orientation. Page numbers on landscape-oriented pages should be in the same position as page numbers on portrait-oriented pages. For example, if portrait pages have page numbers centered at the bottom, then the landscape pages will also have page numbers centered at the bottom.

Creating different page number styles in one document

- Use the Section break formatting to have different page numbering styles. A new section is created that starts on the next page. With this type of section break, you can apply different page numbers, headers, footers, page orientation, paper size, and vertical alignment to the sections in the document. If you are using templates, this is already taken care.

Thesis/ Dissertation should have the following sections:
Section 1 - No page numbers on Title page, Copyright page, or Approval page.

Section 2 - The pages after the Approval page and preceding the text, i.e. Abstract, Acknowledgements, Table of Contents etc. should be numbered using lower case Roman numerals (i, ii, iii ..) starting with Abstract.

Section 3 - Text pages, References/Bibliography, Appendices and Vita should consist of Arabic numerals (1, 2, 3…)

By default, word document comes with Section 1. So, creating Section breaks 2,3 should serve the purpose.

For creating Section 2

- Click at the end of Approval page.
- Click Layout tab→ Breaks then under Section Breaks, click Next Page. So, this creates a new section 2 from Abstract.
• Click on the header/footer of Abstract page (Section 2) and click *Link to Previous* button to **disable** it. This unlinks Section 2 from Section 1 and allows user to create roman page numbers in Section 2 without creating page numbers in section 1.

![Image of a Word document interface showing header and footer settings]

**For creating Section 3**

• Click at the end of page with last roman page number.
• Click *Layout* tab → *Breaks* then under *Section Breaks*, click *Next Page*. So, this creates a new section 3 from Chapter 1 (text).
• Click on the header/footer of Chapter 1 (Section 3) and click *Link to Previous* button to **disable** it. This unlinks Section 3 from Section 2 and allows to create Arabic page numbers in Section 3 without messing up with roman numbers in section 2.

**Note:** Don’t forget to unlink header and footer of each section from its previous section. By default, the Link to Previous button is enabled. You can see it dark grey if it is enabled.

**Inserting roman page numbers for front pages**

• Double click on the footer section of the Abstract page (Section 2). It automatically opens the Design tab.
• Click Page number → Bottom of the page and choose center alignment (Plain Number 2).
• It assigns Arabic page numbers 1, 2, 3 ..
• Select page number and change the font style and size to make it consistent with the text.

• Now right click on the page number and choose Format Page numbers to change the format from Arabic to Roman page numbers.
• Choose Roman number format from the drop-down list, start at i and click OK.
Inserting Arabic Page numbers

- Arabic page numbers are located either right-aligned on the top of the page or centered on the bottom of the page.
- Follow the same procedure mentioned above in order to insert Arabic page numbers at the bottom center. You don’t have to change the number format.
- To have them aligned on the top-right, double click on the header section of the first chapter page.
- Click Page number → Top of the page and choose right alignment (Plain Number 3).
- It assigns Arabic page numbers 1, 2, 3 ..
- Select page number and change the font style and size to make it consistent with the text.

**Changing the orientation of a particular page(s) to Landscape**

- Create a *Section break - next page* on the previous page for which the orientation has to be changed.
- Add another *Section break* at the end of last landscape page.
- Click the header, to confirm a new section has been created. This allows you to change the page layout of only this section without changing the layout of the entire document.
- Click *Layout* → *Orientation* and set the Orientation to *Landscape*.
HEADINGS AND SUBHEADINGS

- Chapter titles – All chapter titles and top headings should be in all capital letters. (including front and back content, e.g., PREFACE, CHAPTER 1, APPENDIX, etc.)
- Either bold all top headings or don’t bold any.
- Use numerals (1,2,3...) instead of words (ONE, TWO, THREE) to represent Chapter numbers. E.g. CHAPTER 1 instead of CHAPTER ONE (in the Table of Contents and on the heading for the chapter).
- Be consistent with chosen format style for headings and sub-headings throughout your document.
- Don’t leave a heading without text underneath it at the very bottom of the page.

MULTIMEDIA OBJECTS
Multimedia object types include oversized tables, complex equations, graphs, diagrams, digital pictures, digital video, digital audio, virtual reality, and even computer software that you have developed.

- Multimedia objects and oversize maps/files may be included as a supplementary file.
- Supplementary files may be uploaded to ProQuest in addition to your ETD pdf file.

SIMPLE OBJECTS
Most simple objects like tables, graphs, and diagrams can be embedded in your ETD using your Word Processor. Here are some guidelines when you embed the objects.

- Float the object to the top of the page.
- Your page can have multiple objects provided they all completely fit, without compromising on margins.
- Title should be directly below the object for figures and above for tables. Number the object according to its position in the chapter (e.g., in Chapter 5 you may have a Table 5.1, Table 5.2, and Figures 5.1, 5.2, and 5.3).
- When tables or figures are too wide to fit within the required one-inch margins, either reduce the size (if possible) or change the orientation of that page to landscape.
APPENDIX

- List of terms, definitions, questionnaires, and other supplemental information which is useful, but not essential, to the body of the thesis or dissertation may be included in an appendix.
- When you have more than one appendix, name each Appendix with a letter (A, B, C, etc.) and list each Appendix separately with a title in the Table of Contents.
- An APPENDICES cover page prior to Appendix A in your paper is optional.
- Because these final papers will be available online, you must remove all signatures from any documents that you include in your paper.

VITA

- Only mention the degrees you have already earned, not the degree in progress.
- Only list publications that were already published or accepted, not the ones in process. If a publication has been accepted but not yet published, note it with (in press).

Submission Guidelines

After you pass your defense...

- Your committee members will sign the Thesis/Dissertation Approval and Oral Defense forms, and you or your department representative will submit one original of each of these forms to the Graduate School.
- It is requested that the Thesis/Dissertation Approval forms be printed on 25% bond paper to match the paper used in the Morris Library copy. This official form is bound into the Morris Library copy of your paper.

SOME ITEMS TO HAVE HANDY WHEN YOU LOGIN TO SUBMIT YOUR ETD

- A PDF copy of your dissertation/thesis. This must be a single file.
- Abstract
- Optional Supplementary files (images, data, etc.) that are an integral part of the dissertation/thesis, but not part of the full text.
- Advisor and other Committee Members' names
- Three subject categories that best include your dissertation/thesis.
- A credit card to make the payment.

SUBMISSION PROCESS FOR THESIS/DISSERTATION

- Login to ProQuest Submission site.
- Fill out the form according to the instructions provided
- Preview and make certain all information is correct
- Browse and submit your ETD
- Submit any associated supplementary multimedia files (optional)
- Select "Submit" to submit to the Graduate School
- You will see a web page indicating that your ETD arrived and awaits review
IMPORTANT DETAILS ABOUT THE SUBMISSION PROCESS

• Submit complete paper by initial deadline.
• Any day before the initial deadline is okay for submission, The sooner the better!
• After you submit, please allow us a couple of days to get back to you.
• Be patient because others are also submitting.
• Come to see us during our walk-in hours or anytime by an appointment.
• For any queries, call us at 618-453-8501, 618-453-4514 or send an email to ETDsupport@siu.edu

After your paper has been approved, here’s the email message that you will receive:

Dear XXXXXX,

Congratulations! Your thesis/dissertation submission has met the formatting requirements and has been accepted by the Graduate School. Your manuscript will be delivered to ProQuest Dissertation Publishing by batch after commencement. It can take 8-12 weeks before it is available online.

ETD Administrator
Southern Illinois University Carbondale

• Once approved, you don’t have to submit your paper again. The final submission is used as the final version.
• After your paper is approved, your file is sent to the Graduate School Graduation Office for graduation clearance.
• The Graduation office will NOT send you any message if you have cleared for graduation. Instead, your department will be notified only if there is a problem.

FEES

• There is a $28.00 one-time fee to cover the cost of a hardbound copy for the SIUC Morris Library. This is the only fee required for submitting your Thesis/Dissertation.
• You don’t have to pay for the resubmissions.
• Choose Traditional Publishing and ProQuest will upload your paper to OpenSIUC. There is no publishing fee for Traditional Publishing.
• ProQuest would charge an additional $95.00 fee for making your work openly accessible, but you can get the same service (Open Access) free of cost through OpenSIUC by replying to the OpenSIUC email that you will receive 4-6 weeks after graduation. For more information, visit https://opensiuc.lib.siu.edu/ or email opensiuc@lib.siu.edu
• As author of your thesis/dissertation, you own the copyright, but you have the option to request that ProQuest register your copyright on your behalf. If you choose this option, an additional copyright fee of $75.00 will be added to your submission costs. For more information about copyright and your thesis/dissertation, select the “Resources & Guidelines” tab in ProQuest.
OPEN ACCESS AND OPENSUIC INFORMATION

- When submitting to ProQuest, you will be asked to select a type of publishing. Choose “Traditional Publishing.” If you would like to make your thesis/dissertation available via open access, then you can do this for no charge through OpenSUIC. There is a $95 charge for this service through ProQuest, but there is no need to pay this fee!
- After your thesis/dissertation has been approved and your date of graduation has passed, the Graduate School will forward your ETD to ProQuest, and then ProQuest will forward your ETD to OpenSUIC. OpenSUIC will post your ETD so that anyone on the SIU campus may access your thesis/dissertation, unless you have requested an embargo.
- OpenSUIC will send you an email asking if you want to make your thesis/dissertation Open Access, which would make it available to anyone anywhere via the internet. If you do want this, then respond to the email to allow this to happen. Then your thesis/dissertation will be available to everyone within SIUC and beyond. OpenSUIC will make this happen without charge, but only if you give your permission.
- If you do not respond to the OpenSUIC email, then your thesis/dissertation will only be available in three ways: 1) a printed copy in the Special Collections section in Morris Library 2) through OpenSUIC (on campus) and 3) via ProQuest either by direct purchase or via access to the ProQuest Dissertations and Theses database, unless you request an embargo.
- If you can’t find the email from OpenSUIC, and you want your thesis/dissertation to be available through Open Access, then you can contact OpenSUIC at opensuic@lib.siu.edu and make this request.

EMBARGO

- If you choose to delay the release of your work, access to the full text of your work will be delayed for the period of time that you specify using this option.
- Choose “No” to delay the release of your work, and then choose the length of the delay and the reason for the delay. See below.
To delay more than 2 years, write a note to the administrator. Tell exactly how long you would like to delay. Then choose a reason for delay from the drop-down.

If you arrange an embargo and later want to shorten or lengthen the embargo time period, contact both ProQuest (800-521-0600) and OpenSIUC to request the change.

COPYRIGHT

Copyright Permission for Use of Published Work
- To use an already published work (text, photograph, drawing, data etc.) in your paper, you must obtain permission from the publisher of that work.
- Most publishers have forms to request permission on their websites. If there is no form, an email is also an acceptable method of requesting permission.
- Cite the source in your paper at the point of use, and insert the permission letter/email in the Appendix.

Registering the Copyright of Your Work
- This is optional.
- Registering with the U.S. Office of Copyright establishes your claim to the copyright for your dissertation/thesis and provides certain protections if your copyright is violated.
- As author, you own the copyright, but ProQuest will offer to register your copyright for $75.

Requesting ProQuest to file for U.S. Copyright Registration

- No, do not file for copyright—I am requesting that ProQuest not file for copyright on my behalf.
- Yes, file for a new copyright—I am requesting that ProQuest file for copyright on my behalf.

Required: Select the checkbox to indicate you have read, understood, and agree to the following:
- I will pay a fee of $75.00 (U.S. dollars) to ProQuest for filing on my behalf with the U.S. Copyright Office.
- I am the sole author of the dissertation or thesis I submitted to ProQuest.
- I do not acknowledge any co-authors or co-claimants of my work.

See manuscript examples rejected and deemed not by a sole author.

Forms
- Create 2 originals for each, just to be safe. Only 1 original of each must be submitted to the Graduate School.
- The Graduate School would need one Oral Defense and one Approval form before the Final Submission Deadline in order to accept your paper. Either you or your program representative will submit one original of each of these forms to the Graduate School.
- Thesis/Dissertation Approval forms are archived with the paper in Morris Library.
• The Signatures must be original – no fax, scans, stamps, or color printed.
• Committee members who are away from campus and cannot sign the forms by hand may e-sign them. The instructions for getting the e-signatures are available on https://gradschool.siu.edu/_common/documents/forms/instructions-for-e-signing.pdf
• Print the e-signed forms and get the signatures from other faculty members by hand on those same papers.
• If you are a PhD student, you must finish the Survey of Earned Doctorates available on https://sed-ncses.org/login.aspx before the final submission deadline. Once you complete this survey, a Survey of Earned Doctorates completion certificate will be e-mailed to the Graduate School with your name. So, you don’t have to e-mail this again.
• Email IRB compliance forms to Dr. Rose Moroz, Assistant Dean of the Graduate School at rmromoroz@siu.edu if your paper involves human subjects or use of live vertebrate animals.

ORDERING COPIES
• Ordering bound copies through ProQuest is optional. It costs around $62.00 and takes about 8-12 weeks after the graduation date to be mailed.
• Another option is for you to print your approved paper and take it to the SIU University Bookstore in the Student Center. They will arrange for it to be professionally bound for just $30.00 in 4 weeks or less after your paper is approved. Contact the Bookstore at 618-536-3321 for more information.
LETTER OF COMPLETION
If you need to have official university confirmation that you have completed your degree, prior to graduation day, then you may send an email with your dawg tag to grad.graduation@siu.edu to request a Letter of Completion. This letter cannot be written until after you are completely cleared for graduation.

OTHER NOTES
• If you were required to secure permission for using human subjects or animals in your study, then you must submit a copy of your approval letter to the Graduate School. Send it attached to an email addressed to rmtmoroz@siu.edu. It is recommended that you make a statement within your methods section that the study methods have been approved by the SIUC Human Subjects Committee or SIUC Institutional Animal Care and Use Committee. You are not required to include this approval letter in your paper. If you do include it in your appendix, be sure to white out any signatures.
• If you include equations in your paper, and you number them, place the equation numbers in a straight vertical line at the right margin. Be consistent with your numbering system.
• Make sure you don’t have any INC or DEF grades on your transcript (other than 599 or 600). You must complete all incomplete courses before graduation.
• Diplomas are mailed after graduation. Please allow up to 8 weeks after graduation to receive your diploma. You can arrange to pick up your diploma at the Graduate School if you prefer by sending an email to grad.graduation@siu.edu.
• If you have questions about graduation applications or procedures, contact grad.graduation@siu.edu.
• If you have questions about the commencement ceremony, visit https://commencement.siu.edu/
• If you have questions about the ProQuest website or ordering through ProQuest, contact their Customer Service at 800-521-0600 extension #77044.

Questions or feedback regarding this Guidelines document should be directed to Dr. Rose Moroz, Assistant Dean of the Graduate School, rmtmoroz@siu.edu.

Revised September 26, 2019
PART II – RESEARCH PAPER GUIDELINES

ORGANIZATION OF PAGES

(See Research Paper Template)

The pages of your paper should be in the following order:

1. Title page
2. Copyright statement (when applicable)
3. Approval page
4. Abstract
5. Dedication (Optional)
6. Acknowledgments (Optional)
7. Preface (Optional)
8. Table of Contents
9. List of Tables (with page references – required if you have more than one table)
10. List of Figures (with page references – required if you have more than one figure)
11. Text/Body
12. Exhibits (tables, figures, photographs, etc. – required if you are presenting all of your tables and figures after the body of your paper)
13. References (or other appropriate title prescribed by your program’s style manual)
14. Appendices (Optional – required if you have copyright permission or other documents that do not fit elsewhere in your paper)
15. Vita

TITLE PAGE

- Make sure that your paper title on the title page, the approval page, the abstract page, the vita page, your Oral Defense and Research paper Approval forms match exactly.
- Month Year at the bottom of this page is the month and year of graduation.

APPROVAL PAGE

- Only the names (not the signatures) of your committee are needed for this page. (The signatures will be on the original paper Research Paper Approval form that is submitted to the Graduate School.)
- Date on this page is the date on which your committee signs your approval form. It should be in the format Month Date, Year, for example, March 8, 2019.
ABSTRACT

- Date on this page is the date of your defense in the format Month Date, Year.

TABLE OF CONTENTS

- Do not list the Table of Contents as an entry on the Table of Contents page.
- Put the ones digit of every page number in a straight vertical line at the right margin.
- Include the titles of Chapters and Appendices.
- Where chapter titles are longer than one line, end the first line before it reaches the page number vertical line, and then indent the first word of the second line so that it starts under the first word of the first line. (See templates.)
- Indent the chapter listings under the word CHAPTERS, and indent the appendix listings under the word APPENDICES.
- Table of Contents are already created on the templates, and you only need to customize this page. Another option is to create an active Table of Contents by following the instructions below.

**Inserting a table of contents using the inbuilt option from MS Word**

- In Word, tables of contents rely on your use of styles to format headings. If you already used the Heading 1, Heading 2, and other heading styles to format your document, you’re ready to insert your Table of Contents. Follow these steps to insert a Table of Contents:
  - Click where you want to create the table of contents and click the References tab.
  - In the Table of Contents group, click Table of Contents.
  - Choose the style of Table of Contents you wish to insert. Automatic Table 1 creates a Table of Contents titled Contents. Automatic Table 2 creates a Table of Contents titled Table of Contents. So, Automatic Table 2 is recommended.
  - Manually add the sub titles CHAPTER and PAGE and align them utmost right and left respectively.
  - Word will create a Table of Contents from the document text you styled with one of the first three heading styles: Heading 1, Heading 2, and Heading 3. The Table
of Contents will include a string of dots between the heading text and the page number for each heading.

- When readers hover over a page number, the headings are highlighted which reminds that they can use the Table of Contents for navigation.

- After the headings are inserted, change the font style of the title TABLE OF CONTENTS, center align it, make it all capitals and also set the font color to Black.
- Set the line spacing to 2.0, change the font style, size of the headings, page numbers (if needed) to make it consistent with the rest of the document.

**Updating Table of Contents**

After you’ve edited your document, page numbers and headings may change, which will make your Table of Contents incorrect!

- To update your Table of Contents, right-click in the table and choose Update Field
  or
- choose Update Table from the Table of Contents group on the References tab. Select entire table or page numbers only and click OK to regenerate the table of contents from your headings.

**No Heading styles?**

- If the headings in your document aren’t formatted with styles, before inserting your table of contents, select each of the top-level headings for your Table of Contents and apply the Heading style from the Styles group on the Home tab of the ribbon.

  or

- Follow the templates and manually type in the headings to create a Table of Contents.
LIST OF TABLES and LIST OF FIGURES

- Put the ones digit of every page number in a straight vertical line at the right margin.
- It is required to include table/figure title next to table/figure number.
- Where table/figure titles are longer than one line, end the first line before it reaches the page number vertical line, and then indent the first word of the second line so that it starts under the first word of the first line.

For example...
Figure 23. The effect of switch cost on the total annual cost and the optimum number of switches.......................................................................................................................... 65

Formatting Guidelines

FONTS
- Use the same font throughout your paper. Some standard fonts to choose from: Times New Roman, Bookman, Courier, or Arial.
- The regular text in the body of the document should use a 10 or 12 point font size. Headings and subheadings may go up to 14 point but must be of the same font style as the body of the text.
- Bold and Italics may be used. In general, italics is used for Genera, species, letters, words or phrases cited as a linguistic example, and foreign words. Bold and italics may be used to distinguish heading and sub-heading levels; follow your department guidelines, and be consistent throughout your paper.
- For tables, six (6) point font should be the smallest used. Try to use the same size as the rest of the body, if possible.

SPACING
- The body of the document must be double spaced.
- There should be no extra spacing between paragraphs.
- There should be no extra spacing before and after each sub-heading.
- Spacing within the table may be single or double spaced based on the readability of the data.
- There should be a judicious use of spacing to "set off" tables, charts, and figures; typically, one double space before and after the table. Again, once spacing is chosen, you must be consistent throughout.
- Places where single spacing is acceptable:
  - Between the lines of a title or heading that takes up more than one line.
  - Between the lines of a long quote that is indented on both sides.
  - Within a figure or table.
  - Between the lines of a figure/table title or explanation/description
  - Within a reference in the References section. If you single space between the multiple lines of a reference, then you should insert a blank space between reference entries.
• Within footnotes.

**Double spacing the document**

• Navigate to *Design* tab.
• Go to Paragraph Spacing (on Top Right) and Set that to **Double**.

![Paragraph Spacing](image)

or

• Select the paragraph which needs to be double spaced.
• Navigate to Home → Paragraph line spacing options → **2.0**

**Deleting extra space above each sub heading, paragraphs.**

Only 2.0-line space is allowed throughout your work. Sometimes, though the line spacing is set to double, you still can see some extra space between the lines, especially above each sub heading, new paragraph etc.

To fix this:

• Select text.
• On Home tab → Paragraph → Click paragraph Settings button on the bottom right.
• On Indents and Spacing tab set the Spacing to 0 for both Before and After tabs.
• Click OK.
TEXT JUSTIFICATION

- The text in chapters should be left justified only, unless your program requires full justification of text (e.g., Mathematics).
- Text on title page and approval page are centered.
- Chapter titles are centered, and other headings may be centered, as fits your program-designated style.

MARGINS AND INDENTATIONS

- Margins are one inch all around. So, text should start one inch below the top of the page and end one inch above the bottom of the page.
- Pages with charts, tables, and figures may have greater margins than listed but may not go into the required margins.
- Paragraph indentations should be a uniform five spaces.
- With a heading at the bottom of a page, when there is not room for at least one line of text under it, move the heading to the next page. In this situation, it is acceptable to have a larger bottom margin.

PAGINATION

- No page numbers on Title page, Copyright page, or Approval page.
- The pages after the Approval page and preceding the text, i.e. Abstract,
Table of Contents etc. should be numbered using lower case Roman numerals (i, ii, iii, ..) starting with Abstract.

- Roman numeral page numbers must be centered and positioned one-half inch from the bottom of the page.
- Text pages, References/Bibliography, Appendices and Vita should consist of Arabic numerals (1, 2, 3..) only, without punctuation, embellishment, or running headers. This numeral paging should be continuous.
- For Research Papers, the Arabic page numbers should be located right-aligned on the top of the page.
- Page numbers need to be consistent with the same font style and size as the regular text.
- If a page of your document is formatted with landscape orientation, the header and footer of the page are also formatted with landscape orientation. Page numbers on landscape-oriented pages should be in the same position as page numbers on portrait-oriented pages. For example, if portrait pages have page numbers on the top right, then the landscape pages will also have page numbers on the top right corner.

Creating different page number styles in one document

- Use the Section break formatting to have different page numbering styles. A new section is created that starts on the next page. With this type of section break, you can apply different page numbers, headers, footers, page orientation, paper size, and vertical alignment to the sections in the document. If you are using templates, this is already taken care.

Research paper should have the following sections:

**Section 1** - No page numbers on Title page, Copyright page, or Approval page.

**Section 2** - The pages after the Approval page and preceding the text, i.e. Abstract, Acknowledgements, Table of Contents etc. should be numbered using lower case Roman numerals (i, ii, iii ..) starting with Abstract.

**Section 3** - Text pages, References/Bibliography, Appendices and Vita should consist of Arabic numerals (1, 2, 3..)

By default, word document comes with Section 1. So, creating Section breaks 2,3 should serve the purpose.

For creating Section 2

- Click at the end of Approval page.
- Click Layout tab→ Breaks then under Section Breaks, click Next Page. So, this creates a new section 2 from Abstract.
- Click on the header/footer of Abstract page (Section 2) and click *Link to Previous* button to **disable** it. This unlinks Section 2 from Section 1 and allows user to create roman page numbers in Section 2 without creating page numbers in section1.
For creating Section 3

- Click at the end of page with last roman page number.
- Click Layout tab → Breaks then under Section Breaks, click Next Page. So, this creates a new section 3 from Chapter 1 (text).
- Click on the header/ footer of Chapter 1 (Section 3) and click Link to Previous button to disable it. This unlinks Section 3 from Section 2 and allows to create Arabic page numbers in Section 3 without messing up with roman numbers in section 2.

**Note:** Don’t forget to unlink header and footer of each section from its previous section. By default, the Link to Previous button is enabled. You can see it dark grey if it is enabled.

**Inserting roman page numbers for front pages**

- Double click on the footer section of the Abstract page (Section 2). It automatically opens the Design tab.
- Click Page number → Bottom of the page and choose center alignment (Plain Number 2).

- It assigns Arabic page numbers 1, 2, 3 ..
- Select page number and change the font style and size to make it consistent with the text.
• Now right click on the page number and choose Format Page numbers to change the format from Arabic to Roman page numbers.
• Choose Roman number format from the drop-down list, start at i and click OK.

Inserting Arabic Page numbers

• Arabic page numbers are located right-aligned on the top of the page
• To have them aligned on the top-right, double click on the header section of the first chapter page.
• Click Page number → Top of the page and choose right alignment (Plain Number 3).
• It assigns Arabic page numbers 1, 2, 3 ...
• Select page number and change the font style and size to make it consistent with the text.

Changing the orientation of a particular page(s) to Landscape

• Create a Section break - next page on the previous page for which the orientation has to be changed.
• Add another Section break at the end of last landscape page.
• Click the header, to confirm a new section has been created. This allows you to change the page layout of only this section without changing the layout of the entire document.
• Click Layout → Orientation and set the Orientation to Landscape
HEADINGS AND SUBHEADINGS

- Chapter titles – All chapter titles and top headings should be in all capital letters. (including front and back content, e.g., PREFACE, CHAPTER 1, APPENDIX, etc.)
- Either bold all top headings or don’t bold any.
- Use numerals (1,2,3...) instead of words (ONE, TWO, THREE) to represent Chapter numbers. E.g. CHAPTER 1 instead of CHAPTER ONE (in the Table of Contents and on the heading for the chapter).
- Be consistent with chosen format style for headings and sub-headings throughout your document.
- Don’t leave a heading without text underneath it at the very bottom of the page.

MULTIMEDIA OBJECTS
Multimedia object types include oversized tables, complex equations, graphs, diagrams, digital pictures, digital video, digital audio, virtual reality, and even computer software that you have developed.

- Multimedia objects and oversize maps/files may be included as an Additional file.

SIMPLE OBJECTS
Most simple objects like tables, graphs, and diagrams can be embedded in your paper using your Word Processor. Here are some guidelines when you embed the objects.

- Float the object to the top of the page.
- Your page can have multiple objects provided they all completely fit, without compromising on margins.
- Title should be directly below the object for figures and above for tables. Number the object according to its position in the chapter (e.g., in Chapter 5 you may have a Table 5.1, Table 5.2, and Figures 5.1, 5.2, and 5.3).
- When tables or figures are too wide to fit within the required one-inch margins, either reduce the size (if possible) or change the orientation of that page to landscape.
APPENDIX

- List of terms, definitions, questionnaires, and other supplemental information which is useful, but not essential, to the body of the research paper may be included in an appendix.
- When you have more than one appendix, name each Appendix with a letter (A, B, C, etc.) and list each Appendix separately with a title in the Table of Contents.
- An APPENDICES cover page prior to Appendix A in your paper is optional.
- Because these final papers will be available online, you must remove all signatures from any documents that you include in your paper.

VITA

- Only mention the degrees you have already earned, not the degree in progress.
- Only list publications that were already published or accepted, not the ones in process. If a publication has been accepted but not yet published, note it with (in press).

Submission Guidelines

After you pass your defense...

- Your committee members will sign the Research Paper Approval and Oral Defense forms, and you or your department representative will submit one original of each of these forms to the Graduate School.

BEFORE YOU BEGIN THE SUBMISSION PROCESS, PLEASE MAKE SURE YOU HAVE THE FOLLOWING ITEMS:

- The submission's title
- An abstract of 250 words or less (optional)
- A list of keywords (10 maximum) (optional)
- Full name of your Advisor
- Your document in Word format (preferred) or pdf
- Additional files, if any.

SUBMISSION PROCESS FOR RESEARCH PAPER

- Navigate to OpenSIUC submission site.
- You will need an account to make your submission. To do so, click on the “My Account” link in the upper right of the screen.
• Login to your account.
• Click on the "Submit Research" link in the lower left of the screen.

• Fill in all the details, browse and upload your Research Paper.
• Submit any associated supplementary multimedia files (optional).
• When the preceding steps are complete, click on the “Submit” button at the bottom of the screen. This completes the submission process.

IMPORTANT DETAILS ABOUT THE SUBMISSION PROCESS
• Submit complete paper by initial deadline.
• Any day before the initial deadline is okay for submission, The sooner the better!
• After you submit, please allow us a couple of days to get back to you.
• Be patient because others are also submitting.

After your paper has been approved, here’s the email message that you will receive:
Once approved, you don’t have to submit your paper again. The final submission is used as the final version.

After your paper is approved, your file is sent to the Graduate School Graduation Office for graduation clearance.

The Graduation office will NOT send you any message if you have cleared for graduation. Instead, your department will be notified only if there is a problem.

FEES

- There is not a fee for Research Paper submission.

COPYRIGHT

Copyright Permission for Use of Published Work

- To use an already published work (text, photograph, drawing, data etc.) in your paper, you must obtain permission from the publisher of that work.
- Most publishers have forms to request permission on their websites. If there is no form, an email is also an acceptable method of requesting permission.
- Cite the source in your paper at the point of use, and insert the permission letter/email in the Appendix.

Forms

- Create 2 originals for each, just to be safe. Only 1 original of each must be submitted to the Graduate School.
- The Graduate School would need one Oral Defense and one Approval form before the Final Submission Deadline in order to accept your paper. Either you or your program representative will submit one original of each of these forms to the Graduate School.
- The Signatures must be original – no fax, scans, stamps, or color printed.
- Committee members who are away from campus and cannot sign the forms by hand may e-sign them. The instructions for getting the e-signatures are available on https://gradschool.siu.edu/_common/documents/forms/instructions-for-e-signing.pdf
- Print the e-signed forms and get the signatures from other faculty members by hand on those same papers.
LETTER OF COMPLETION
If you need to have official university confirmation that you have completed your degree, prior to graduation day, then you may send an email with your dawg tag to grad.graduation@siu.edu to request a Letter of Completion. This letter cannot be written until after you are completely cleared for graduation.

Questions or feedback regarding this Guidelines document should be directed to Dr. Rose Moroz, Assistant Dean of the Graduate School, rmtmoroz@siu.edu.

Revised December 20, 2019